

JS 45 (5/97) - (Revised U.S.D.C. MA 3/25/2011)

Criminal Case Cover Sheet**U.S. District Court - District of Massachusetts**

Place of Offense: _____ Category No. 11 Investigating Agency FBI

City Burlington Related Case Information:

County Middlesex Superseding Ind./ Inf. _____ Case No. _____

Same Defendant _____ New Defendant _____

Magistrate Judge Case Number 11 MJ 6187 LTS

Search Warrant Case Number _____

R 20/R 40 from District of _____

Defendant Information:

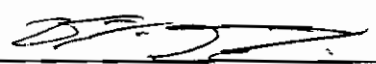
Defendant Name Steven Berman Juvenile: ☐ Yes ☒ No

Is this person an attorney and/or a member of any state/federal bar: ☒ Yes ☐ No

Alias Name _____

Address (City & State) Hillsboro, OH Birth date (Yr only): 1962 SSN (last4#): 6460 Sex M Race: White Nationality: USADefense Counsel if known: Martin F. Murphy Address Foley Hoag LLPBar Number _____ 155 Seaport BoulevardBoston, MA 02210**U.S. Attorney Information:**AUSA Vassili Thomadakis/Sarah Walters Bar Number if applicable 638378 (Walters)Interpreter: ☐ Yes ☒ No List language and/or dialect: _____Victims: ☐ Yes ☒ No If yes, are there multiple crime victims under 18 USC§3771(d)(2) ☐ Yes ☐ NoMatter to be SEALED: ☐ Yes ☒ No☐ Warrant Requested ☒ Regular Process ☐ In Custody**Location Status:**Arrest Date December 1, 2011☐ Already in Federal Custody as of _____ in _____☐ Already in State Custody at _____ ☐ Serving Sentence ☐ Awaiting Trial☒ On Pretrial Release: Ordered by: Hon. Leo T. Sorokin on December 1, 2011Charging Document: ☐ Complaint ☐ Information ☒ IndictmentTotal # of Counts: ☐ Petty _____ ☐ Misdemeanor _____ ☒ Felony 3

Continue on Page 2 for Entry of U.S.C. Citations

☒ I hereby certify that the case numbers of any prior proceedings before a Magistrate Judge are accurately set forth above.Date: December 14, 2011Signature of AUSA: 

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District Court Case Number (To be filled in by deputy clerk): _____**Name of Defendant** Steven Berman**U.S.C. Citations**

	<u>Index Key/Code</u>	<u>Description of Offense Charged</u>	<u>Count Numbers</u>
Set 1	18 U.S.C. §§1349, 1348	Conspiracy to Commit Securities Fraud	1
Set 2	18 U.S.C. §§ 1343, 1349, 2	Wire fraud	2
Set 3	18 U.S.C. §§ 1341, 2	Mail fraud	7
Set 4	_____	_____	_____
Set 5	_____	_____	_____
Set 6	_____	_____	_____
Set 7	_____	_____	_____
Set 8	_____	_____	_____
Set 9	_____	_____	_____
Set 10	_____	_____	_____
Set 11	_____	_____	_____
Set 12	_____	_____	_____
Set 13	_____	_____	_____
Set 14	_____	_____	_____
Set 15	_____	_____	_____

ADDITIONAL INFORMATION: _____

